



THE METROPOLITAN WATER DISTRICT  
OF SOUTHERN CALIFORNIA

Office of Ethics

## LOBBYIST REGISTRATION STATEMENT

<b>Name of Lobbyist</b>	<b>Date Qualified</b>
<b>Business Address</b>	<b>Phone Number and Email</b>
<b>Name of Lobbying Firm (if applicable)</b>	<b>Name of Client(s)</b>

**Lobbyist Statement of Understanding:**

I have read and understand the requirements of Metropolitan Water District of Southern California Administrative Code Sections 7103, 7220, and 7221 on pages 2 – 3 of this form. See Metropolitan website for complete Administrative Code.

**Verification:**

I certify that the information provided on this form is true, complete and correct.

\_\_\_\_\_

Executed on (date)

\_\_\_\_\_

Signed by (lobbyist)

**Filing Instructions:**

Submit this form to [MWDLobbyistRegistration@mwdh2o.com](mailto:MWDLobbyistRegistration@mwdh2o.com) with subject line: "Lobbyist Registration."

## **RELATED METROPOLITAN ADMINISTRATIVE CODE PROVISIONS**

### **Section 7103: Definition of “Lobbying Firm” and “Lobbyist”**

- (k) “Lobbying firm” means 1) any business entity, including an individual contract lobbyist, which receives compensation for the purpose of influencing a specific decision of any Metropolitan official including a decision to enter into a contract, and 2) any owner or employee of the business entity is a lobbyist.
- (l) “Lobbyist” means any individual who receives or is entitled to receive \$2,000 or more in economic consideration in a calendar month, other than reimbursement for reasonable travel expenses, to communicate directly with a Metropolitan official for the purpose of influencing a specific decision of any Metropolitan official, including a decision to enter into a contract. For purposes of this definition, oral statements made during a public hearing or written statements made as part of the public record shall not be considered communications for the purpose of influencing a decision of any Metropolitan official. Individuals employed by, retained by, or holding office with other governmental agencies and individual employees of private entities, other than lobbying firms, seeking to influence a Metropolitan decision are not lobbyists. Decisions involving ministerial acts, personnel matters, and collective bargaining shall not be considered “decisions” for purposes of this definition.

### **Section 7220: Lobbyist and Lobbying Firm Registration and Reporting Requirements**

The Ethics Officer shall prescribe forms to comply with the requirements of this section and shall make all information listed on any registration statement and all quarterly disclosures publicly available on the Metropolitan public website within 14 calendar days of filing.

- (a) Lobbyists shall file a registration statement with the Ethics Officer prior to communicating with a Metropolitan official for the purpose of influencing a decision of a Metropolitan official. The registration statement shall include the lobbyist’s full name, business address, email address, telephone number and name of lobbying firm owned by the lobbyist or employing the lobbyist.
- (b) Lobbyists shall file quarterly reports with the Ethics Officer disclosing all topics they attempted to influence, the name of the lobbyist employer for whom they were engaged for the purpose of influencing, and whether they communicated with the board members and/or staff members. Reports shall be due on or before the last day of the month following each calendar quarter.
- (c) Lobbying firms shall file a registration statement with the Ethics Officer at the same time the firm’s lobbyist registers. The registration statement shall include the lobbying firm’s name, business address, email address, telephone number, lobbyist employed by or who owns the firm, and name of the entity compensating the lobbying firm.
- (d) Lobbying firms shall file quarterly reports with the Ethics Officer disclosing the names of lobbyists who own or are employed by the firm, all topics the firm’s lobbyists

attempted to influence, and the name of the entity for whom the firm is compensated for the purpose of influencing. Reports shall be due on or before the last day of the month following each calendar quarter.

- (e) Lobbyists and lobbying firms which have ceased lobbying Metropolitan officials shall file a termination statement with the Ethics Officer.

**Administrative Code Section 7221: Revolving Door Restrictions on Former Metropolitan Officials**

- (a) For a period of one year after leaving office or employment with Metropolitan:
  - 1) Directors shall not represent for compensation non-governmental entities before Metropolitan.
  - 2) All other Metropolitan officials shall not represent for compensation non-governmental entities before Metropolitan with regard to any issues over which they had decision-making authority during the three years prior to leaving office or employment.
- (b) For purposes of this section, “represent” shall mean being compensated to communicate directly with a Metropolitan official for the purpose of influencing a Metropolitan decision.
- (c) These restrictions shall not apply to representation of non-for-profit charitable entities before Metropolitan.